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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

P-137/TEP0237-01

First Inventor

C. Paul Cox et al.

Title HYDROSTATIC PUMP ASSEMBLY HAVING SYMMETRICAL ...

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(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EL 905874835 US Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, D.C. 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Computer Readable Form (CRF) 3. Specification [Total Pages 19 (preferred arrangement set forth below) Specification Sequence Listing on: - Descriptive title of the invention CD-ROM or CD-R (2 copies); or i. 🔲 - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Description of the Drawings (if filed) - Detailed Description 9. Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement - Abstract of the Disclosure 10 Power of Attorney (when there is an assignee) English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets 15 Information Disclosure Copies of IDS 12. Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration (Total Pages Preliminary Amendment 13. Newly executed (original or copy) Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) Copy from a prior application (37 CFR 1.63(d)) b. Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) 15. (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Nonpublication Request under 35 U.S.C. 122 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 09588 Check No. Other: Application Data Sheet. See 37 CFR 1.76 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, 18. or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Group / Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS or Correspondence address below X Customer Number or Bar Code Label 000832 (Insert Customer No. or Attach bar code label here) John F. Hoffman Name **BAKER & DANIELS** 111 East Wayne Street Address Suite 800 City Fort Wayne State Indiana Zip Code 46802 **United States** Telephone 260-424-8000 Fax 260-460-1700 Country Name (Print/Type) Michael D. Schwaytz Registration No. (Attorney/Agent) 44,326

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| FEE TRANSMITTAL for FY 2003 | | Complete if Known | | | |
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| Effective 01/01/2003. Patent fees are subject to annual revision. | | C. Paul Cox et al. | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | | | |
| | Group Art Unit | | W. W | | |
| (\$) \$852.00 | Attorney Docket No. | P-137/TEP0237-01 | | | |
| (| 03 et to annual revision. See 37 CFR 1.27 | Filing Date First Named Inventor See 37 CFR 1.27 Examiner Name Group Art Unit | Filing Date First Named Inventor C. Paul Cox et al. See 37 CFR 1.27 Examiner Name Group Art Unit | | |

| M | METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) | | | | | | | |
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| Deposit Account: | | | Large Fee | Entity Fee | Smal | <u>l Entity</u> Fee | L Fee Description | Fee Paid |
| Deposit Account | 02-0 | 385 | Code 1051 | (\$) 130 | 2051 | (\$) 65 | Surcharge - late filing fee or oath | ree raid |
| Number Deposit | | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover | |
| Account Name | BAKER & | DANIELS | 1053 | 130 | 1053 | 130 | Non - English specification | |
| | ioner is authorized to: (chec | k all that apply) | 1 | 2,520 | 1 | | For filing a request for ex parte reexamination | |
| | | Credit any overpayments | 1804 | 920* | 1804 | | Requesting publication of SIR prior to Examiner | |
| | y additonal fee(s) during the p | | 1805 | 1,840* | 1805 | 1 840* | action Requesting publication of SIR after Examiner | |
| Charge fee | e(s) indicated below, except f | or the filing fee | | • | | • | action | |
| to the above-id | entified deposit account. | | 1251 | 110 | 2251 | | Extension for reply within first month | |
| | FEE CALCULA | TION | 1252 | 410 | 2252 | | Extension for reply within second month | |
| 1. BASIC | FILING FEE | | 1253 | 930 | 2253 | | Extension for reply within third month | |
| | Small Entity | • . . • | 1254 | • | 2254 | | Extension for reply within fourth month | |
| Fee Fee Code (\$) | | Fee Paid | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1001 750 | 2001 375 Utility filing | fee 750,00 | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1002 330 | 2002 165 Design filin | g | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1003 520 | 2003 260 Plant filing | fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1004 750 | 2004 375 Reissue fili | ng | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1005 160 | 2005 80 Provisiona | filing fee | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| SUBTOTAL (1) (\$) \$750.00 | | 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | | |
| 2 EYTD | A CLAIM FEES FOR U | | 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 2. LX IN | | Fee from | 1502 | 470 | 2502 | 235 | Design issue fee | |
| Total Claims | Extra Claims | below Fee Paid | 1503 | 630 | 2503 | 315 | Plant issue fee | |
| Independent | 21 -20** = 1 | X = 18.00 = 18.00 X = 84.00 = 84.00 | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Claims Multiple Depe | | = 04.00 | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR § 1.17(q) | |
| Large Entity | | | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Statement | |
| Fee Fee Code (\$) | Code (\$) | Description | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1202 18 1201 84 | | excess of 20 nt claims in excess of 3 | 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1203 280 | | pendent claim, if not paid | 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1204 84 | | independent claims inal patent | 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1205 · 18 | | claims in excess of 20 | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| 1200 10 | | original patent | Oth | er fee (| specify |) | o. a addigit approation | |
| | SUBTOTAL (2 | (\$) \$102.00 | | | y | | | <u>. </u> |
| **or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) | | | | Fee Paid SUBTOTAL (3) (\$) | | | | |
| or number previously part, if greater, if or resistance, see above | | | | | | | | |

| SUBMITTED BY | - | | | Complete (| if applicable) | |
|-------------------|---------------------|-----------------------------------|--------|------------|----------------|---|
| Name (Print/Type) | Michael D. Schwartz | Registration No. (Attorney/Agent) | 44,326 | Telephone | 260-424-8000 | |
| Signature | Muchael V. | 1.6 | | Date | June 24, 2003 | _ |

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| Serial No. | Filing Date | - | Examiner | | Group Art Unit |
| Invention: HYDROSTA | TIC PUMP ASSEMBL | Y HAVING | S SYMMETRICAL ENDCA | AP . | 180. |
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| I hereby certify that the | e following corresponde | ence: | | | £** |
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| 37 CFR 1.10 in an env June 24. (Date) | , 2003 | | - Patent Application - Commiss 1450, Alexandria, VA 22313-1 | | r Patents On |
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